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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats BYX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached. 3. Class of New Derivative Securities Product: See Attached. 4. Name of Underlying Instrument: See Attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See Attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached. 8. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable. 17003548 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards standards. Trading and Markets Name of Official Responsible for Form: Anders Franzon Title: Act SVP, Associate General Counsel Section Telephone Number: Rule 913-815-7154 19b-4(e Public Manual Signature of Official Responsible for Form: Availabl Date: August 31, 2017

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.
COMMENCING ON AUGUST 28, 2017

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
FMDG	Trust	Investment Company Units	Large Cap Growth Securities	U.S.	Broad
FFIU	Trust	Investment Company Units	Fixed Income Securities	Global	Broad
FPEI	Trust	Investment Company Units	Income Securities	Global	Broad



SEC Mail Processing Section SEP 0 1 2017

Washington DC 408

August 31, 2017

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Ransom.

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Bats BYX Exchange on August 28, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

Securities and Exchange Commission Trading and Markets

SEP n 1 2017

RECEIVED